



Provident Planning, Inc.

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Provident Planning's Code of Ethics & Privacy Policy

All employees, representatives, and officers of Provident Planning are required to adhere to the following code of ethics at all times.

Integrity & Honesty: We will endeavor to always take the high road and to be ever mindful of the potential for misunderstanding that can occur in normal human interactions. We will be diligent to keep our actions and reactions so far above board that a thinking client or other professional would not doubt our intentions. In all things, we will be mindful that in addition to serving our clients, we are representing the Lord and our actions should reflect this.

Professionalism: We shall conduct ourselves in a way that would be a credit to the Lord at all times. Working at Provident Planning involves integrity, honest treatment of clients, and treating people with respect and love.

Objectivity: We strive to be as unbiased as possible in providing advice to clients and always practice on a fee-only basis.

Full Disclosure: We shall fully describe our method of compensation and potential conflicts of interest to our clients. Our goal is to be as transparent as possible in our dealings with all people.

Fairness & Suitability: Dealings and recommendation with clients will always be in the client's best interests. We will always put our clients first and uphold our fiduciary duty.

Confidentiality: We shall keep all client data private unless authorization is received from the client to share it or disclosure is required by law. We shall treat all documents with care and take care when disposing of them. Relationships with clients shall be kept private.

Competence: We shall strive to maintain a high level of knowledge and ability through continuing education. Provident Planning employees, representatives, and officers shall not provide advice in areas where they are not capable or legally registered.

Regulatory Compliance: We will strive to maintain conformity with legal regulations.

Privacy Policy: Provident Planning, Inc. will regard any information provided by you and any recommendations made to you as strictly confidential. Your information will never be shared with any other person or entity in any way unless specifically authorized by you or required by law.